IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Steven M. H. WALLMAN

Serial Number: 10/627,873

Filed : July 28, 2003

For : METHOD AND APPARATUS FOR ENABLING INDIVIDUAL OR

SMALLER INVESTORS OR OTHERS TO CREATE AND MANAGE A PORTFOLIO OF SECURITIES OR OTHER

ASSETS OR LIABILITIES ON A COST EFFECTIVE BASIS

Art Unit : 3694

Examiner : Ms. Susanna M. Meinecke Diaz

Mail Stop: AMENDMENT Commissioner for Patents Post Office Box 1450 Alexandria, VA 22313

PETITION TO EXTEND TIME TO RESPOND AND RESPONSE TO OFFICE ACTION

Sir:

The Applicant hereby petitions the Assistant Commissioner to grant a one (1) month extension of time, up to and including December 10, 2007 in which to respond to the Office Action mailed August 9, 2007 in the above-identified application. The small entity extension fee in the amount of \$60.00 may be charged to the Deposit Account of Kenyon & Kenyon LLP, Deposit Account No. 11-0600. In response to the Office action mailed on August 9, 2007 ("Office action"), Applicant respectfully requests reconsideration of the application in light of the following amendments and remarks:

Amendments to the Specification begin on page 2 of this paper.

Amendments to the Claims begin on page 4 of this paper.

Remarks begin on page 6 of this paper.